

Incorporating CCBHC Community Needs Assessment Results into Policies and Procedures


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Today's Agenda

CCBHC Overview and National Status
Review Community Needs Assessment


- Community Needs Assessment Definitions
- Community Needs Assessment Requirements
- Community Needs Assessment Applications
- Community Needs Assessment Process



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CCBHC Overview and National Status



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The Vision for the CCBHC Model

	<h3>Integrated Services</h3> <ul style="list-style-type: none"> Each CCBHC will provide affordable, community-based mental health and substance use services, including but not limited to evidence-based prevention, treatment and recovery supports
	<h3>Sustainable Funding</h3> <ul style="list-style-type: none"> Each CCBHC will have a site-specific bundled payment rate such as a prospective payment system (PPS) and adhere to the CCBHC Federal Criteria established by SAMHSA for the CCBHC Medicaid Demonstration
	<h3>High Quality Care</h3> <ul style="list-style-type: none"> Each CCBHC – and the state leaders in which they reside – will maintain quality measures and reporting structures required of the CCBHC model with additional tailored metrics to ensure population health

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CCBHC Options via Medicaid			CCBHC Grants
<h4>Medicaid Waiver (e.g., 1115)</h4> <p>Typical states to experiment with delivery system reforms</p> <p>Requires budget neutrality</p> <p>Must be renewed every 5 years</p> <p>State must be sure to specify inclusion of selected CCBHC services (some may not otherwise be included in the plan)</p> <p>With CMS approval, offers opportunity to continue or establish PPS</p>	<h4>State Plan Amendment</h4> <p>Enables states to permanently amend Medicaid plans to include CCBHC as a provider type, with scope of services, criteria and requirements, etc.</p> <p>Does not require budget neutrality</p> <p>With CMS approval, can continue PPS</p> <p>Cannot waive "state wideeness," may have to certify additional CCBHCs (future CCBHCs may be phased in)</p>	<h4>CCBHC Demonstration</h4> <p>Typical states to experiment with delivery system reforms</p> <p>Does not require budget neutrality and provides an enhanced FMARP for states</p> <p>For only 10 states every 2 years in 2024</p> <p>State may limit the number of clinics selected to receive the PPS rate</p> <p>State must be sure to follow all CCBHC criteria with ability to build onto them</p>	<h4>CCBHC Grants (SAMHSA Funds)</h4> <p>\$4 million available for a 4-year period; Previously for a 2-year term</p> <p>Grants are given directly to clinics with self-attestation that they meet CCBHC criteria.</p> <p>Clinics provide all CCBHC services and activities of a CCBHC as required by SAMHSA, including basic reporting requirements.</p> <p>Grant funds supplement but do not supplant other coverage sources</p> <p>400+ CCBHC grantees</p> <p>500+ in total this year</p>

1115 waivers: Texas

SPA: NY, Colorado, Nevada, Oklahoma, Minnesota – and Kansas (outside of the demo)

Demonstration states include SPA states and Kentucky, Michigan, New Jersey, New York, & Oregon

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CCBHC State and Federal Actions

CCBHC state planning grant awardees are below:

- 30 states** launched the CCBHC model through the Medicaid Demonstration: **TX, MI, MN, MO, NY, NJ, NY, OK, OR, and PA.**
- 15 states** received the 2023 planning grants: **AL, DE, GA, IA, IL, KS, MD, NC, MT, ND, NC, NH, OH, RI, VT, and WV.**
- 10 non-demo states** that previously received planning grants (2016): **AK, CA, CO, CT, IL, IN, MD, TX, and VA.**


Federal & State Actions

Established the CCBHC Model through Medicaid Demonstration

- CCBHC Planning Grant (2019)
- CCBHC Planning Grant (2023)
- No CCBHC Actions
- State Legislation to Pursue the CCBHC Model
- CCBHC Clinic-level SAMHSA Grant

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Community Needs Assessment Definitions




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Clarifying CCBHC Assessments

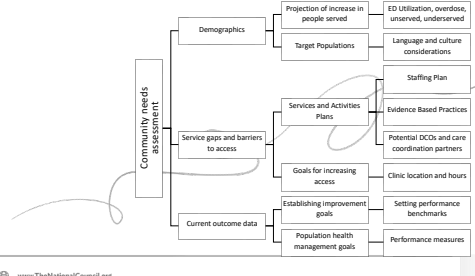
Clinics		States	
Community Needs Assessments <ul style="list-style-type: none"> What's working well in the CCBHC's service area that could be improved? What population-specific services are lacking in our service area? What added capacities are necessary through the CCBHC to ensure health equity? 	Clinic Readiness Assessments <ul style="list-style-type: none"> How prepared are the clinic and its partners to meet the CCBHC criteria? How feasible is it for a clinic to achieve CCBHC state certification by July 2024? What resources are needed for the clinic to achieve readiness? 	Aggregated Community Needs Assessment <ul style="list-style-type: none"> What is the demographic make-up of the state? What's working well in the current BH system in the state? Where are the gaps in services and needs in the BH system in the state? 	State Preparedness Assessment <ul style="list-style-type: none"> Outside of behavioral health and Medicaid, are all state parties aligned on CCBHC efforts? What additional criteria should be required or allowed from assessments? What's the feasibility for the state to certify clinics as CCBHCs by July 2024?




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Community Needs Assessment

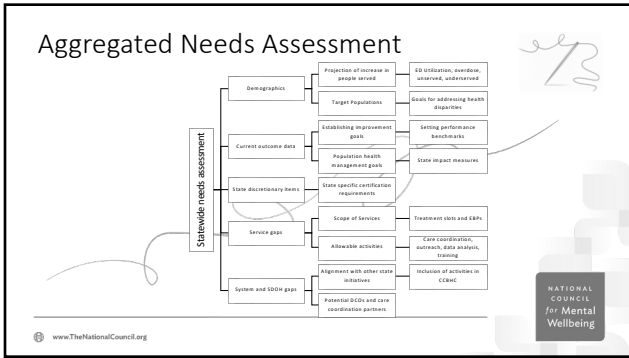


The flowchart details the components of a community needs assessment, including demographics, service gaps, and current outcome data, leading to various goals and performance measures.

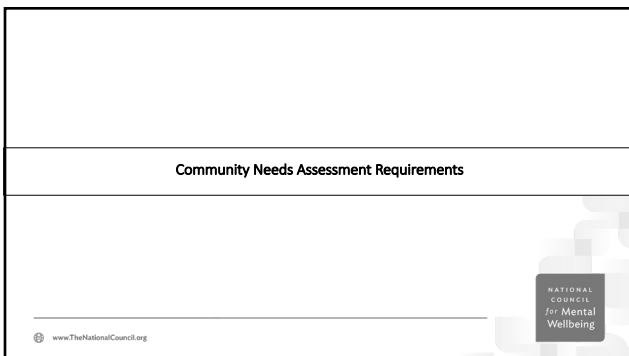


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Staffing: Community Needs Assessment and Staffing Plan

As part of the process leading to certification and recertification, and before certification or attestation, a community needs assessment and a staffing plan that is responsive to the community needs assessment are completed and documented.

The needs assessment and staffing plan will be updated regularly, but no less frequently than every three years.

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Appendix A: Terms and Definitions

Community Needs Assessment:

- Identify community needs and determining program capacity
- Collaborate with other community stakeholders
- Identify current conditions and desired services or outcomes in the community
- Specific CCBHC criteria are tied to the community needs assessment including staffing, language and culture, services, locations, service hours and evidence-based practices
- Be thorough and reflect the treatment and recovery needs of those who reside in the service area across the lifespan including children, youth, and families
- If a separate community needs assessment has been completed in the past year, the CCBHC may decide to augment, or build upon the information to ensure that the required components of the community needs assessment are collected

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Community Needs Assessment Elements

1. Physical boundaries and size of the service area
2. Prevalence of mental health and substance use conditions, such as rates of suicide and overdose.
3. Economic factors and social determinants of health affecting the population's access to health services
4. Cultures and languages of the populations residing in the service area.
5. Identification of the underserved population(s) within the service area.
6. A description of how the staffing plan does and/or will address findings.
7. Plans to update the community needs assessment every 3 years.
8. Input with regard to:
 - cultural, linguistic, physical health, and behavioral health treatment needs;
 - evidence-based practices and behavioral health crisis services;
 - access and availability of CCBHC services including days, times, and locations, and telehealth options; and
 - potential barriers to care such as geographic barriers, transportation challenges, economic hardship, lack of culturally responsive services, and workforce shortages.

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Community Needs Assessment Inputs

1. People with lived experience of mental and substance use conditions and individuals who have received/are receiving services from the clinic conducting the needs assessment;
2. Health centers (including FQHCs in the service area);
3. Local health departments (Note: these departments also develop community needs assessments that may be helpful);
4. Inpatient psychiatric facilities, inpatient acute care hospitals, and hospital outpatient clinics;
5. One or more Department of Veterans Affairs facilities;
6. Representatives from local K-12 school systems; and
7. Crisis response partners such as hospital emergency departments, emergency responders, crisis stabilization settings, crisis call centers and warmlines.

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Community Needs Assessment Other Inputs

CCBHCs must engage also with other community partners, especially those who also work with people receiving services from the CCBHC and populations that historically are not engaging with health services, such as:

- Organizations operated by people with lived experience of mental health and substance use conditions;
- Other mental health and SUD treatment providers in the community;
- Residential programs;
- Juvenile justice agencies and facilities;
- Criminal justice agencies and facilities;
- Indian Health Service or other tribal programs such as Indian Health Service youth regional treatment centers as applicable;
- Child welfare agencies and state licensed and nationally accredited child placing agencies for therapeutic foster care service; and
- Crisis response partners such as hospital emergency departments, crisis stabilization settings, crisis call centers and warmlines.
- Specialty providers of medications for treatment of opioid and alcohol use disorders; Peer-run and operated service providers;
- Homeless shelters and housing agencies;
- Employment services systems;
- Services for older adults, such as Area Agencies on Aging; Aging and Disability Resource Centers; and
- Other social and human services (e.g., domestic violence centers, pastoral services, grief counseling, Affordable Care Act navigators, food and transportation programs)


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Community Needs Assessment Applications

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CCBHC Criteria Program Requirements

- 1: Staffing
- 2: Availability and Accessibility of Services
- 3: Care Coordination
- 4: Scope of Services
- 5: Quality and Other Reporting
- 6: Organizational Authority, Governance and Accreditation


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Community Needs Assessment Applications

Section	Criteria	Description
Staffing	1.a.2	The staff (both clinical and non-clinical) is appropriate for the population receiving services, as determined by the community needs assessment
	1.a.3	The Chief Executive Officer (CEO) of the CCBHC, or equivalent, maintains a fully staffed management team as appropriate for the size and needs of the clinic, as determined by the current community needs assessment and staffing plan
	1.b.2	The staffing plan is informed by the community needs assessment and includes clinical, peer, and other staff
	1.d.4	Prior to certification, the needs assessment will inform which languages require language assistance, to be updated as needed




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Community Needs Assessment Applications

Section	Criteria	Description
Availability and Accessibility of Services	2.a.2	Informed by the community needs assessment, the CCBHC ensures that services are provided during times that facilitate accessibility and meet the needs of the population served by the CCBHC, including some evening and weekend hours
	2.a.3	Informed by the community needs assessment, the CCBHC provides services at locations that ensure accessibility and meet the needs of the population to be served, such as settings in the community and, as appropriate and feasible, in the homes of people receiving services
	2.a.6	Informed by the community needs assessment, the CCBHC conducts outreach, engagement, and retention activities to support inclusion and access for underserved individuals and populations




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Community Needs Assessment Applications


Section	Criteria	Description
Care Coordination	3.c.3	The CCBHC has partnerships with a variety of community or regional services, supports, and providers. CCBHCs may develop partnerships with the following entities based on the population served, the needs and preferences of people receiving services, and/or needs identified in the community needs assessment
Scope of Services	4.c.1	The CCBHC provides crisis receiving/stabilization services that must include at minimum, urgent care/walk-in mental health and substance use disorder services for voluntary individuals. Walk-in hours are informed by the community needs assessment and include evening hours that are publicly posted
	4.f.1	The CCBHC or the DCO must provide evidence-based services using best practices for treating mental health and substance use disorders across the lifespan with tailored approaches for adults, children, and families. (Note: Based upon the findings of the community needs assessment as required in program requirement 1, certifying states must establish a minimum set of evidence-based practices required of the CCBHCs.)



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Community Needs Assessment Process




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Key Steps in a Community Needs Assessment

- Define the goals for the assessment
- Articulate the purpose of the assessment
- Identify the target populations for the assessment of needs and services
- Determine how data will be collected and used
- Determine the timeline for the process
- Determine the strategic use of the findings




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Methodology

- Establish a team to conduct the community needs assessment
 - Discuss purpose and audience
 - Develop evaluation questions
 - Establish timeline
- Identify your data sources
 - Quantitative
 - Qualitative
- Develop tools (e.g., interview questions, focus group guides)
- Analyze data, and synthesize results



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Data Sources


Quantitative

- Publicly available estimates describing behavioral health conditions, including co-occurring physical health conditions and other factors related to recovery
- Internal and external service utilization data
- Self-report data from clients and staff (i.e., functioning; satisfaction; etc.)

Qualitative

- Internal and external key informants/stakeholders, including input from consumers and family members
- Organizational documents and community-level reports


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CCBHC Population Health Considerations for Needs Assessment

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Population Health Management

- The CCBHC establishes or maintains a health information technology (IT) system that includes, but is not limited to, electronic health records. The health IT system has the capability to capture structured information in consumer records (including demographic information, diagnoses, and medication lists), provide clinical decision support, and electronically transmit prescriptions to the pharmacy. To the extent possible, the CCBHC will use the health IT system to report on data and quality measures as required by program requirement 5.
- The CCBHC uses its existing or newly established health IT system to conduct activities such as population health management, quality improvement, reducing disparities, and for research and outreach.

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Addressing Health Disparities

- **100%** of CCBHCs said their CCBHC status has helped them serve people of color, improve access to care, and reduce health disparities in their communities
- **75%** increased screening for unmet social needs (e.g., housing, income, insurance status, transportation)
- **67%** developed organizational policies and protocols to improve diversity, equity, and inclusion
- **60%** hired staff who are demographically similar to the populations their clinics serve
- **53%** initiated or expanded translation services

<https://www.thenationalcouncil.org/resources/2022-ccbhc-impact-report/>

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Targeting Population Health

PPS provides resources and incentives to target population health.

CCBHCs are:

- Hiring **dedicated population health** analysts, clinicians, other staff
- Using **data analysis** to understand utilization and risk among client population
- Developing **care pathways** to ensure comprehensive, assertive service delivery to high-risk populations
- Strengthening **integration with primary care** to help clients manage chronic physical health conditions that are cost drivers
- Partnering with hospitals to **streamline care transitions** and prevent readmission
- Assessing for **non-health needs** that are determinants of health (e.g., housing, food, etc.)

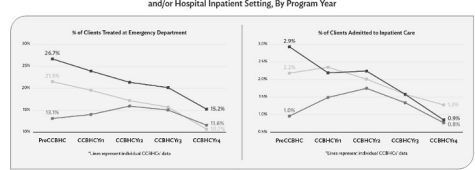
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Population Health Management works!

FIGURE 2: Oklahoma Data Snapshot: Percent of CCBHC Clients Treated at Emergency Department and/or Hospital Inpatient Setting, By Program Year




Program Year	Pre-CCBHC	CCBHC18	CCBHC19	CCBHC20	CCBHC21	CCBHC22
%	24.7%	21.0%	19.7%	18.2%	15.4%	11.4%

Program Year	Pre-CCBHC	CCBHC18	CCBHC19	CCBHC20	CCBHC21	CCBHC22
%	2.2%	1.7%	1.2%	1.0%	0.8%	0.6%

<https://www.thenationalcouncil.org/wp-content/uploads/2022/02/Transforming-State-Behavioral-Health-Systems.pdf>

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CCBHC Needs Assessments Examples

- [Hiawatha Valley Mental Health Center CCBHC Needs Assessment \(clinic\)](#)
- [Michigan CCBHC Handbook](#)
- [Oregon CCBHC Provider Needs Assessment Template](#)
- [State of Oklahoma CCBHC Needs Assessment](#)
- [State of MN Needs Assessment Template](#)

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CCBHC Success Center

[CCBHC Success Center - National Council for Mental Wellbeing \(thenationalcouncil.org\)](#)

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To make mental wellbeing, including recovery from substance use challenges, a reality for everyone.

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CCBHC-E TTA Center Website

CCBHC-E
National Training and Technical Assistance Center

Access to our ever-growing resource library, upcoming trainings and events, and request for individualized support.

About the CCBHC-E National Training and Technical Assistance Center

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